

# NBP FULLERTON ASSET MANAGEMENT LIMITED

EXCHANGE OF UNIT FORM (INDIVIDUALS AND INSTITUTIONS)

(FORM: NAFA - 06) (Please see guidelines overleaf before completing this form)



NBP Fullerton  
Asset Management Ltd.  
A Subsidiary of  
National Bank of Pakistan

NAFA Account Number																				
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Date: \_\_\_\_\_  
I / We, being the registered holder(s) of under mentioned Unit(s), apply for the exchange of Unit(s) of the Fund / Plan as specified below in accordance with the provisions of the Trust Deeds & Offering Documents of the respective Funds / Plan

Name (Principal Account Holder)	
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**1. From (TRANSFERRING FUND OR PLAN)**

Name of Fund / Plan \_\_\_\_\_ Fund / Plan Code: \_\_\_\_\_  
(Please see Fund/ Plan names and codes mentioned on the back page of the form)

No. of Units to be Transferred: \_\_\_\_\_ OR Amount (Rs.) \_\_\_\_\_  
Certificates Issued No  Yes  (In case of certificates issued, kindly attach the issued certificate(s), without which transfer of units to the other Fund(s) will not be processed)

**2. To (TRANSFERRING FUND OR PLAN)**

Name of Fund / Plan \_\_\_\_\_ Fund / Plan Code: \_\_\_\_\_  
(Please see Fund/ Plan names and codes mentioned on the back page of the form)

Frequency of payment in case of Income Unit: Monthly  Quarterly  Half Yearly  Annual

**3. DECLARATION**

- I / We confirm having filled and signed this application form after having read and understood the Trust Deeds, Offering Documents of the respective Fund and the risks involved at the back of the form including maximum rate of sales load charge to me / us.
- I / We ratify that the information provided in this form is correct.
- I / We hereby declare that I/We have read, understood and completed Risk / Return Profiling Questionnaire or completed the online Risk Profiling Questionnaire on NAFA website.
- I / We, understand that as a result of switching to another fund, my / our risk / return characteristics may change significantly.
- I / We understand that if exchange of unit form is received by NAFA and or Distributor/Facilitator after the cut off time, that transaction will be processed on the next working day and that I / We would not hold NAFA responsible for any loss consequent to such processing of exchange of unit form on the next working day.

Signature by Principal Account Holder

**Name(s) and Signature(s) of Unit Holder(s)**  
(All joint Holders shall sign unless the first named is authorized to sign singly)

Name(s)	Signature (Stamp in case of institution)	Verification (For Official use only)

**4. DISTRIBUTOR / FACILITATOR INFORMATION (For Office Use Only)**

Approached by	Client ID	Distributor / Facilitator Name / Code	Signatures of Distributor / Facilitator	Transaction Date
<input type="checkbox"/> NAFA Sales Staff	<input type="checkbox"/> Self			
NBP Referred - Name	Designation	CNIC	Emp. ID / Branch	

**5. FOR REGISTRAR USE ONLY**

Form Received on (Date & Time)	Signature Verified By (Name & Signatures)	Certificates Verified & Defaced By	Transaction No.	Date Input By

Ref #: 806, Date: 08 April 2016



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## NBP FULLERTON ASSET MANAGEMENT LIMITED

### Guidelines for Completing Exchange of Unit Form (NAFA 06)

#### FUND NAMES, CODE & SALES LOAD

Fund Name	Fund Code	Sales Load (%)	Fund Name	Fund Code	Sales Load (%)
NAFA Government Securities Liquid Fund*	NGSLF	0%	NAFA Islamic Aggressive Income Fund*	NIAIF	1%
NAFA Money Market Fund*	NMMF	1%	NAFA Asset Allocation Fund**	NAAF	3%
NAFA Government Securities Savings Fund*	NGSSF	1%	NAFA Islamic Asset Allocation Fund**	NIAAF	3%
NAFA Riba Free Savings Fund*	NRFSS	0.5%	NAFA Multi Asset Fund**	NMF	3%
NAFA Savings Plus Fund*	NSPF	0.5%	NAFA Islamic Stock Fund**	NISF	3%
NAFA Financial Sector Income Fund*	NFSIF	1%	NAFA Stock Fund**	NSF	3%
NAFA Income Opportunity Fund*	NIOF	1%	NAFA Islamic Energy Fund**	NIEF	3%
NAFA Income Fund*	NIF	1%			

\* Sales load excluding Govt. Tax, No load is to be charged on investment exceeding Rs. 16,000,000/-.

\*\* Sales load excluding Govt. Tax, No load is to be charged on investment exceeding Rs. 50,000,000/-.

#### PLAN NAMES & CODES

Plan Name	Plan Code	Plan Name	Plan Code
Low Volatility Plan	LVP	High Volatility Plan	HVP
Medium Volatility Plan	MVP	Customized (You Select) Plan	C-YSP

#### INFORMATION ABOUT THE PRINCIPAL ACCOUNT HOLDER / TRANSFEROR

- The Account Holder would need to state his/ her/ Account Title and NAFA A/c No. allotted at Account opening stage.
- The Account Holder would need to mention the No. of Units / or Amount to be transferred to other Funds(s) / Plan.
- In case of certificates if issued; kindly attach the issued certificate(s), without which transfer to units to the other Fund(s) will not be processed.