

# NBP FULLERTON ASSET MANAGEMENT LIMITED

REDEMPTION FORM (INDIVIDUALS AND INSTITUTIONS)  
(Form Capital Protected / Principal Preservation Strategy Plan)



NBP Fullerton  
Asset Management Ltd.  
A Subsidiary of  
National Bank of Pakistan

NAFA Account Number																			
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Date: \_\_\_\_\_

I / We request you to redeem my / our Units according to the provisions of the Trust Deed and the Offering Document of the respective Fund / Plan.

Principal Account Holder's Name: \_\_\_\_\_

## 1. REDEMPTION

Amount \_\_\_\_\_

## 2. BREAK-UP OF REDEMPTION\*

Name of Underlying Funds	Number of Units	Or	Amount
1- _____	_____		_____
2- _____	_____		_____
3- _____	_____		_____

\* Redemption amount as mentioned in point number 1 shall be equal to the break-up of redemption.

Certificates Issued:  No  Yes, Certificate No.(s)  
Please note that this redemption cannot be processed unless original Certificates, if issued to you, are returned to us. In case the certificates are lost, stolen or misplaced, please contact the Registrar/Transfer Agent at 7<sup>th</sup> Floor Clifton Diamond Building, Block No. 4, Scheme No. 5, Clifton Karachi.

## 3. PAYMENT INSTRUCTIONS

BANK ACCOUNT	REGISTERED MAILING ADDRESS
You can get redemption in the Bank Account already Registered with NAFA or in your other personal Bank account	You can get redemption at your already registered mailing address or at a new mailing address as per the following instructions
<b>Please select any of the following:</b> <input type="checkbox"/> Bank Account already registered with NAFA <input type="checkbox"/> Other Personal Bank Account (New Account)	<b>Please select the mode of Payment (Please tick anyone)</b> <input type="checkbox"/> Cheque <input type="checkbox"/> Pay Order <input type="checkbox"/> DD
<b>If redemption is required in a new Bank Account, please provide following details:</b>	<b>Please select any of the following where we can send you the redemption instrument:</b> <input type="checkbox"/> Mailing Address already registered with NAFA <input type="checkbox"/> New Mailing Address (Please provide details of new mailing address below)
Bank Name _____	New Address: _____
Branch Name _____ Branch Code _____	
Account Title _____	
Account No _____	
	<input type="checkbox"/> Other instructions ( Please mention collection of instrument by Person or through authorized representative) _____

## 4. DECLARATION

- I / We have read and understand the guidelines as stated overleaf and the Trust Deeds and Offering Documents of the underlying funds and the risks involved.
- Redemption price of units and conditions would be in accordance with the terms set in the Trust Deed.
- I / We acknowledge that the above information is correct to the best of my / our knowledge.
- I / We understand that if redemption form is received by NAFA and or Distributor / Facilitator after the cut off time, that transaction will be processed on the next working day and that I / We would not hold NAFA responsible for any loss consequent to such processing of redemption form on the next working day.
- I / We understand that redemption (either in part or full) is liable to capital gain tax, if any.

## 5. REASON OF REDEMPTION

Profitability of the Fund  Services of NAFA  Cash Requirement  Others \_\_\_\_\_

## 6. PRINCIPAL / JOINT A/C HOLDERS & AUTHORIZED SIGNATORIES

Name :	Signature :
Name :	Signature :
Name :	Signature :
Name :	Signature :

## 7. DISTRIBUTOR / FACILITATOR INFORMATION (For Office Use only)

Distributor / Facilitator Name / Code	Signature of Distributor / Facilitator	Form No.	Date and Time	Details of Certificates & Units received from Unit Holder	
				Certificate(s) #	Unit(s)
NBP Referred - Name	Designation	CNIC	Emp. ID / Branch		

## 8. FOR REGISTRAR USE ONLY

Form Received on (Date & Time)	Particulars Verified By Name & Signatures	Certificates Verified & Defaced By	Redemption Rate	Data Input By



## NBP FULLERTON ASSET MANAGEMENT LIMITED

### Guidelines for Completing Redemption Form (NAFA 03)

#### FUND NAMES & CODES

Fund Name	Fund Code	Fund Name	Fund Code
NAFA Government Securities Liquid Fund	NGSLF	NAFA Stock Fund	NSF
NAFA Savings Plus Fund	NSPF	NAFA Riba Free Savings Fund	NRFSF
NAFA Income Opportunity Fund	NIOF	NAFA Asset Allocation Fund	NAAF
NAFA Income Fund	NIF	NAFA Financial Sector Income Fund	NFSIF
NAFA Islamic Aggressive Income Fund	NIAIF	NAFA Money Market Fund	NMMF
NAFA Multi Asset Fund	NMF	NAFA Government Securities Savings Fund	NGSSF
NAFA Islamic Asset Allocation Fund	NIAAF	NAFA Islamic Stock Fund	NISF

This Form would be used for disinvestment of unit from NAFA Funds.

1. The Account Holder would need to state his / her name and the NAFA Account Number of the **underlying funds**.
2. The Account Holder needs to specify whether he / she had taken physical possession of the certificates against his / her investment. If the Subscriber ticks on the "yes" option, the certificates would need to be attached with the redemption form.

#### REDEMPTION DETAILS

In this section, the Account Holder may choose to specify the disinvestments in terms of Rupess or Units, as per convenience.

#### INSTRUCTIONS REGARDING REDEMPTION PROCEEDS

1. Payment of redemption proceeds will be made by the Trustee within six Business days, after the receipt of a properly documented request for Redemption of Units by the Company.
2. If redemption requests on any single day exceed 10% of the total number of Units issued, the Trustee shall redeem only 10% on a first come first served basis and defer the balance to the next business day.
3. Copy of CNIC of the beneficiary is required to be submitted if redemption proceeds are to be credited to the bank account of the third party. (applicable for individuals only).

#### INFORMATION ABOUT OPERATING INSTRUCTIONS

1. All authorized individuals/signatories as specified in the Account Opening Form (NAFA 01) under the section "Account Operating Instructions" will have to sign this form, as per given instruction and specify their names.
2. In case of Corporate clients, company's seal is required to be affixed along with the signatures of authorised signatories.

#### OTHER INSTRUCTIONS

Please note that Partial Redemption of Certificate(s) will not be entertained.