

NBP FULLERTON ASSET MANAGEMENT LIMITED

REDEMPTION FORM (INDIVIDUALS AND INSTITUTIONS)

(FORM: NAFA - 03) (Please see guidelines overleaf before completing this form)



NBP Fullerton
Asset Management Ltd.
A Subsidiary of
National Bank of Pakistan

NAFA Account Number																			
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Date: _____

I / We request you to redeem my / our Units according to the provisions of the Trust Deed and the Offering Document of the respective Fund / Plan.

Principal Account Holder's Name: _____

1. REDEMPTION DETAILS

Name of Fund / Plan _____ Fund / Plan Code: _____
(Please see Fund / Plan names & codes mentioned on the back page of the form)

No. of Units: _____ OR Amount (Rs.) _____

Certificates Issued: No Yes, Certificate No.(s) _____
Please note that this redemption cannot be processed unless original Certificates, if issued to you, are returned to us. In case the certificates are lost, stolen or misplaced, please contact the Registrar/Transfer Agent at 7th Floor Clifton Diamond Building, Block No. 4, Scheme No. 5, Clifton Karachi.

Cooling off Right: I am availing my cooling off Right.

(Cooling off Right can be exercise within 3 days of the receipt of initial Account Statement).

2. PAYMENT INSTRUCTIONS

BANK ACCOUNT

You can get redemption in the Bank Account already Registered with NAFA or in your other personal Bank account

Please select any of the following:

- Bank Account already registered with NAFA
 Other Personal Bank Account (New Account)

If redemption is required in a new Bank Account, please provide following details:

Account Title			
Account / IBAN #			
Bank Name			
Branch Name	Branch Code		

REGISTERED MAILING ADDRESS

You can get redemption at your already registered mailing address or at a new mailing address as per the following instructions.

Please select the mode of Payment (Please tick anyone)

- Cheque Pay Order DD

Please select any of the following where we can send you the redemption instrument:

- Mailing Address already registered with NAFA
 New Mailing Address (Please provide details of new mailing address below)

New Address: _____

- Other instructions (Please mention collection of instrument by Person or through authorized representative) 1. Name _____
2. CNIC # _____

3. DECLARATION

- I / We have read and understand the guidelines as stated overleaf and the Trust Deeds and Offering Documents of the fund / Plan and the risks involved.
- Redemption Rates and conditions would be in accordance with the terms set in the Trust Deed.
- I / We acknowledge that the above information is correct to the best of my / our knowledge.
- I / We understand that if redemption form is received by NAFA and or Distributor / Facilitator after the cut off time, that transaction will be processed on the next working day and that I / We would not hold NAFA responsible for any loss consequent to such processing of redemption form on the next working day.
- I / We understand that redemption (either in part or full) is liable to capital gain tax, if any.

4. REASON OF REDEMPTION

- Profitability of the Fund / Plan Services of NAFA Cash Requirement Others _____

5. PRINCIPAL / JOINT A/C HOLDERS & AUTHORIZED SIGNATORIES

Name :		Signature :
Name :		Signature :
Name :		Signature :
Name :		Signature :

6. DISTRIBUTOR / FACILITATOR INFORMATION (For Office Use only)

Distributor / Facilitator Name / Code	Signature of Distributor / Facilitator	Form No.	Date and Time	Details of Certificates & Units received from Unit Holder	
				Certificate(s) #	Unit(s)
NBP Referred - Name	Designation	CNIC	Emp. ID / Branch		

7. FOR REGISTRAR USE ONLY

Form Received on (Date & Time)	Particulars Verified By Name & Signatures	Certificates Verified & Defaced By	Redemption Rate	Data Input By



NBP FULLERTON ASSET MANAGEMENT LIMITED

Guidelines for Completing Redemption Form (NAFA 03)

FUND NAMES & CODES

Fund Name	Fund Code	Fund Name	Fund Code
NAFA Government Securities Liquid Fund	NGSLF	NAFA Riba Free Savings Fund	NRFSS
NAFA Savings Plus Fund	NSPF	NAFA Asset Allocation Fund	NAAF
NAFA Income Opportunity Fund	NIOF	NAFA Financial Sector Income Fund	NFSIF
NAFA Income Fund	NIF	NAFA Money Market Fund	NMMF
NAFA Islamic Aggressive Income Fund	NIAIF	NAFA Government Securities Savings Fund	NGSSF
NAFA Multi Asset Fund	NMF	NAFA Islamic Stock Fund	NISF
NAFA Islamic Asset Allocation Fund	NIAAF	NAFA Islamic Energy Fund	NIEF
NAFA Stock Fund	NSF		

NAFA SAVINGS PLAN NAMES & CODES

Plan Name	Plan Code	Plan Name	Plan Code
Low Volatility Plan	LVP	High Volatility Plan	HVP
Medium Volatility Plan	MVP	Customized Plan (You Select Plan)	C-YSP

NAFA ISLAMIC SAVINGS PLAN NAMES & CODES

Plan Name	Plan Code	Plan Name	Plan Code
NAFA Islamic Surmaya Tahaffuz Plan	NISTP	NAFA Islamic Surmaya Izafa Plan	NISIP
NAFA Islamic Mutawazan Surmaya Plan	NIMSP	NAFA Islamic Customized Plan (you select Plan)	NI_CYAP

This Form would be used for disinvestment of unit from NAFA Funds / Plan.

- The Account Holder would need to state his / her name and the NAFA Account Number of the Fund or Plan.
- The Account Holder needs to specify whether he / she had taken physical possession of the certificates against his / her investment. If the Subscriber ticks on the "yes" option, the certificates would need to be attached with the redemption form.

REDEMPTION DETAILS

In this section, the Account Holder may choose to specify the disinvestments in terms of Rupess or Units, as per convenience.

INSTRUCTIONS REGARDING REDEMPTION PROCEEDS

- Payment of redemption proceeds will be made by the Trustee within six Business days, after the receipt of a properly documented request for Redemption of Units by the Company.
- If redemption requests on any single day exceed 10% of the total number of Units issued, the Trustee shall redeem only 10% on a first come first served basis and defer the balance to the next business day.
- Copy of CNIC of the beneficiary is required to be submitted if redemption proceeds are to be credited to the bank account of the third party. (applicable for individuals only).

INFORMATION ABOUT OPERATING INSTRUCTIONS

- All authorized individuals/signatories as specified in the Account Opening Form (NAFA 01) under the section "Account Operating Instructions" will have to sign this form, as per given instruction and specify their names.
- In case of Corporate clients, company's seal is required to be affixed along with the signatures of authorised signatories.

OTHER INSTRUCTIONS

Please note that Partial Redemption of Certificate(s) will not be entertained.